

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 017101-000900US

First Inventor

Beaufays, Françoise

Title

METHOD FOR LEARNING LINGUISTICALLY VALID  
WORD PRONUNCIATIONS FROM ACOUSTIC DATA

Express Mail Label No.

EV 346 928 545 US

17491 U.S. PTO  
10/60868  
09/12/03

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning design patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification (Total Pages 30 )  
(preferred arrangement set forth below)  
- Title Sheet  
- Descriptive title of the invention  
- Cross Reference to Related Applications  
- Statement Regarding Fed sponsored R & D  
- Reference to sequence listing, a table,  
or a computer program listing appendix  
- Background of the invention  
- Brief Summary of the Invention  
- Brief Description of the Drawings (if filed)  
- Detailed Description  
- Claim(s)  
- Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) (Total Sheets 10 )
5. ☒ Oath or Declaration (Total Pages 1 )  
a. ☒ Newly executed (original or copy)  
b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for a continuation/divisional with Box 18 completed)  
i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76

## ADDRESS TO

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

7. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)
  8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)  
a. ☐ Computer Readable Form (CRF)  
b. Specification Sequence Listing on:  
i. ☐ CD-ROM or CD-R (2 copies); or  
ii. ☐ paper number of pages  
c. ☐ Statements verifying identity of above copies
- ### ACCOMPANYING APPLICATIONS PARTS
9. ☒ Assignment Papers (cover sheet & document(s))
  10. ☒ 37 CFR 3.73(b) Statement ☒ Power of  
(when there is an assignee) Attorney
  11. ☐ English Translation Document (if applicable)
  12. ☐ Information Disclosure ☐ Copies of IDS  
Statement (IDS)/PTO-1449 Citations
  13. ☐ Preliminary Amendment
  14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
  15. ☒ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
  16. ☒ Nonpublication Request under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35  
or its equivalent
  17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: \_\_\_\_\_  
Prior application information: Examiner \_\_\_\_\_ Art Unit: \_\_\_\_\_

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☒ Customer Number

20350

OR ☐ Correspondence address below

Name

Address

City

State

Zip Code

Country

Telephone

Fax

Name (Print/Type)

Rodney C. LeRoy

Registration No. (Attorney/Agent)

53,205

Signature

Rodney C. LeRoy

Date

9/12/03

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Mail Stop Patent Application, P.O. Box 1450, Alexandria, VA 22313-1450. 60036481 v1

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

**FEE TRANSMITTAL**  
**for FY 2003**

Effective 01/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 487

Complete If Known

Application Number	Unassigned
Filing Date	Herewith
First Named Inventor	Beaulays, Françoise
Examiner Name	Unassigned
Art Unit	Unassigned
Attorney Docket No.	017101-000900US

**METHOD OF PAYMENT (check all that apply)**

☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number: 20-1430

Deposit Account Name: Townsend and Townsend and Crew LLP

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity	Fee Code (\$)	Fee (\$)	Fee Description	Fee Paid
1001	750	2001 375	Utility filing fee	\$75
1002	350	2002 165	Design filing fee	
1003	520	2003 280	Plant filing fee	
1004	750	2004 375	Reissue filing fee	
1005	160	2005 80	Provisional filing fee	
SUBTOTAL (1)				(\$375)

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims	Extra Claims	Fees from below	Fee Paid
28	-20** = 8	X\$3 =	\$72
Independent Claims	2	-3** = 0	X\$ = \$0
Multiple Dependent		X =	

Large Entity	Small Entity	Fee Code (\$)	Fee (\$)	Fee Description
1202	18	2202 9		Claims in excess of 20
1201	84	2201 42		Independent claims in excess of 3
1203	280	2203 140		Multiple dependent claim, if not paid
1204	84	2204 42		** Reissue independent claims over original patent
1205	18	2205 9		** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)				(\$)

\*\*or number previously paid. If greater, For Reissues, see above

**FEE CALCULATION (continued)**

Large Entity	Fee Code (\$)	Small Entity	Fee Code (\$)	Fee Description	Fee Paid
1051	130	2051 65		Surcharge - late filing fee or oath	
1052	50	2052 25		Surcharge - late provisional filing fee or cover sheet	
1053	130	1053 130		Non-English specification	
1812	2,520	1812 2,520		For filing a request for reexamination	
1804	920*	1804 920*		Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805 1,840*		Requesting publication of SIR after Examiner action	
1251	110	2251 55		Extension for reply within first month	
1252	410	2252 205		Extension for reply within second month	
1253	930	2253 465		Extension for reply within third month	
1254	1,450	2254 725		Extension for reply within fourth month	
1255	1,970	2255 985		Extension for reply within fifth month	
1401	320	2401 160		Notice of Appeal	
1402	320	2402 160		Filing a brief in support of an appeal	
1403	280	2403 140		Request for oral hearing	
1451	1,510	1451 1,510		Petition to institute a public use proceeding	
1452	110	2452 55		Petition to revive - unavailability	
1453	1,300	2453 650		Petition to revive - unintentional	
1501	1,300	2501 650		Utility issue fee (or reissue)	
1502	470	2502 235		Design issue fee	
1503	630	2503 315		Plant issue fee	
1460	130	1460 130		Petitions to the Commissioner	
1807	50	1807 50		Petitions related to provisional applications	
1806	180	1806 180		Submission of Information Disclosure Stmt	
8021	40	8021 40		Recording each patent assignment per property (times number of properties)	40
1809	750	2809 375		Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	750	2810 375		For each additional invention to be examined (37 CFR § 1.129(b))	
1801	750	2801 375		Request for Continued Examination (RCE)	
1802	900	1802 900		Request for expedited examination of a design application	
Other fee (specify) _____					
*Reduced by Basic Filing Fee Paid				SUBTOTAL (3)	(\$40)

**SUBMITTED BY**

Complete (if applicable)

Name (Print/Type)	Rodney C. LeRoy	Registration No. (Attorney/Agent)	53,205	Telephone	650-326-2400
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Signature

*Rodney C. LeRoy*

Date

9/12/03

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1459, Alexandria, VA 22313-1450. 60036502 v1

If you need assistance in completing the form, call 1-800-4-PTO-9199 (1-800-786-9199) and select option 2.

**NONPUBLICATION REQUEST  
CERTIFICATION  
UNDER**

**35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor	Francoise Beaufrays		
Title	Method for Learning Linguistically Valid Word Pronunciations from Acoustic Data		
Atty Docket Number	017101-000900US		

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

9/12/03  
Date

Rodney C. LeRoy  
Signature

650-326-2400  
Telephone Number

Rodney C. LeRoy, Reg. No. 53,205  
Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application upon filing.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

This collection of information is required by 37 CFR 1.213(a). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.